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JUN 1 5 2001

June 11, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Jachy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hedrick, J. et al.

Application No.: 09/770,528

Filed: January 25, 2001

For: MAMMALIAN CYTOKINES;

RELATED REAGENTS AND

METHODS

Examiner: Unassigned

Art Unit: 1647

COMMUNICATION UNDER

37 C.F.R. § 1.821(e)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In order to comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, 37 C.F.R. §§ 1.821-1.825, Applicants submit herewith the required paper copy of the Sequence Listing. Applicants request use of the acceptable computer-readable form filed in the parent Application No. 09/130,972 as the computer-readable form for the instant application, pursuant to 37 C.F.R. § 1.821(e).

It is understood that the Patent and Trademark Office will make the necessary changes in application number and filing date for the computer-readable form that will be used for the instant application. Applicants submit herewith a courtesy copy of the paper copy of the Sequence Listing as filed in the parent application. The courtesy copy is identical to the

Hedrick, J. et al. Application No.: Page 2

paper copy and computer readable copy of the sequence listing submitted in the parent application, and introduces no new matter.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 650-326-2400 x 5209.

Respectfully submitted,

Hugh Wang Reg. No. 47,163

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300

HW

PA 3150048 v1

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Attorney Docket No.: 015631 Client Reference No.: DX0725K2B

Sector

Assistant Commissioner for Patents JUN 1 5 2001 Attn: Box Missing Parts Washington, D.C. 20231

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hedrick, J. et al.

Application No.: 09/770,528

Filed: January 25, 2001

For: Mammalian Cytokines; Related

Reagents and Methods

Examiner:

Unassigned

Art Unit:

1647

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

PARTS

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

application:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application Dated June 1, 2001, enclosed are the following to be made of record in the above-identified

- 1) Fee Transmittal
- 2) Copy of Notice of Missing Parts
- 3) Copy of Executed Declaration and Power of Attorney
- 4) Copy of Executed Assignment
- 5) Communication Under 37 CFR 1.821(e)
- 6) Sequence Listing
- 7) Request for Corrected Filing Receipt and Request for Change of Correspondence Address and Attorney Docket Number
- 8) Copy of Marked-up Filing Receipt
- 9) Return receipt postcard

Hedrick, J. et al. Application No.: Page 2

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Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity: (a) Filing Fee (§ 1.16(a)) (Large Entity)

\$0.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

10 - 20 = 0 x \$9.00 =

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x \$40.00 =

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(c) Missing Parts Surcharge

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The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430.

Respectfully submitted,

Hugh Wang Reg. No. 47,163

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 415 576-0300

HW:ksj

PA 3150312 v1

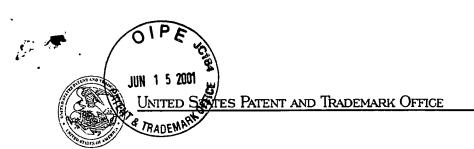
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			Complete if Known				
1	TPEFEE TRANS	MITTAL	Application Number	09/770,528			
		001	Filing Date	January 25, 2001	_		
1	UN 1 5 2001 &		First Named Inventor	Hedrick, J. et al.			
	Patent fees are subject to a	annual revision.	Examiner Name	Unassigned			
Ŷ	Patent fees are subject to a		Group Art Unit	1647			
1	TOTAL AMOUNT OF PAYMENT	(\$) 130	Attorney Docket No.	015631006900			

TOTAL AMOU	(4) 130	Allomey bosterno.								
METHOD OF PAYMENT					FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge					3. ADDITIONAL FEES					
1. Deposit	indicated fees an	nd credit any over payments to:		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
Account	00 4420			105	130	205	65	Surcharge - late filing fee or oath	130	
Number				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account	Townsend and T	Townsend and Crew LLP		139	130	139	130	Non-English specification		
Name				147	2,520	147	2,520	For filing a request for reexamination		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant of See 37 CF	laims small entity s R 1.27	status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
	t Enclosed:			115	110	215	55	Extension for reply within first month		
☐ Check						216	195	Extension for reply within second month		
		Order		117	890	217	445	Extension for reply within third month		
1. BASIC FIL		CULATION		118	1,390	218	695	Extension for reply within fourth month		
Large Entity \$				128	1,890	228	945	Extension for reply within fifth month		
		ee Description		119	310	219	155	Notice of Appeal		
Code (\$) (Code (\$)	Fee Paid	7	120	310	220	155	Filing a brief in support of an appeal		
		tility filing fee	4	121	270	221	135	Request for oral hearing	 	
		esign filing fee	_	138	1,510	138	1,510	Petition to institute a public use proceeding		
108 710 2	208 355 R	eissue filing fee		140	110	240	55	Petition to revive – unavoidable		
114 150 2	214 75 Pi	rovisional filing fee		141	1,240	241	620	Petition to revive – unintentional		
			٦.	142 143	1,240 440	242	620	Utility issue fee (or reissue)		
	SUBTOTAL (1) (\$)					243	220	Design issue fee	\vdash	
2. EXTRA CLAIN	FEES			144	600	244	300 130	Plant issue fee Petitions to the Commissioner		
	Ex	xtra Fee from Fee		122	130	122	130	Petitions related to provisional	\vdash	
Total Claims 10 -20** = 0 X \$18 = \$0				123	50	123	50	applications Submission of Information Disclosure		
Independent Claims 1	-3** = 0	x \$80 = \$0		126	180	126	180	Stmt		
Multiple Dependent		x =		581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large Entity	Small Entity Fee Fee			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$) 103 18	Code (\$) 203 9	Fee Description Claims in excess of 20		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
102 80	202 40	Independent claims in excess of 3		179	710	279	355	Request for Continued Examination (RCE)		
104 270	204 135	Multiple dependent claim, if not paid						·	 	
109 80 209 40 ** Reissue independent claims over original patent			169 900 169 900 Request for expedited examination of a design application							
110 18 210 9 ** Reissue claims in excess of 20 and over original patent				Other fee (specify)						
SUBTOTAL (2) (\$)0				The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						
· or number previously paid, if greater; For Reissues, see above					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)130					
**or number previo	usly paid, if greater; I	For Reissues, see above								

SUBMITTED BY				Co	mplete (if applicable)	=
Name (Print/Type)	Hugh Wang	Registration No. (Attorney/Agent)	47,163	Telephone	650-326-2400	
Signature	fl	OV sec		Date		

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT 15631-006900US

09/770,528 01/25/2001 Joseph A. Hedrick

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 7799

FORMALITIES ' TTTED

OC000000006139369



Date Mailed: 06/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/18/2001 SHINASSI 00000062 201430 09770528

130.00 CH

01 FC:105

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821 (g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and. where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

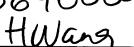
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PART 2 - COPY TO BE RETURNED WITH RESPONSE









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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/770,528	01/25/2001	1647	710	15631- 006900US	1	10	1

CONFIRMATION NO. 7799

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

FII ING RECEIDT

OC000000006139368

Date Mailed: 06/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph A. Hedrick, Plainsboro, NJ; East Theodore R. Sana Palo Alto, CA: J. Fernando Bazan, Menlo Park, CA; Robert A. Kastelein, Redwood City, CA:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/130,972 08/07/1998 ABN WHICH IS A CON OF 09/097,976 06/16/1998 ABN WHICH IS A CON OF 09/062,866 04/20/1998 ABN WHICH CLAIMS BENEFIT OF 60/044,165 04/21/1997 AND CLAIMS BENEFIT OF 60/055,111 08/06/1997

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No



Title

Mammalian cytokines; related reagents and methods

Preliminary Class

514

Data entry by : KELECHA, ASEGEDECH

Team : OIPE

Date: 06/01/2001





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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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